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Thursday, 10 April 1947

Appearances:

INTERNATIONAL MILITARY TRIBUNAL
FOR THE FAR EAST
Court House of the Tribunal
War Ministry Building
Tokyo, Japan

The Tribunal met, pursuant to adjournment, at 0930.

For the Tribunal, same as before.

For the Prosecution Section, same as before.

For the Defense Section, same as before.

The Accused:

By a majority decision of the Tribunal on 9
April 1947 no further proceedings to be taken on the
Indictment of OKAWA, Shumei at this trial; that he be
kept in custody subject to order by the Supreme
Commander for the Allied Powers; and that future
trial at a later date is not precluded.

(English to Japanese and Japanese to English interpretation was made by the Language Section, IMTFE.)

MARSHAL OF THE COURT: The International
Military Tribunal for the Far East is now in session.

THE PRESIDENT: All the accused are present except TOGO who is represented by his counsel. We have a certificate from the surgeon of Sugamo Prison to the effect that TOGO is too ill to attend the

trial today. The certificate will be recorded and filed.

Mr. Tavenner.

TAKAJI WACHI, called as a witness on behalf of the defense, resumed the stand and testified through Japanese interpreters as follows:

CROSS EXAMINATION

BY MR. TAVENNER (Continued):

Q General WACHI, as Court adjourned yesterday, you told us that no telephone communications were made between HASHIMOTO and ITAGAKI, DOHIHARA and ISHIHARA of the Kwantung Army because the secretary of HASHIMOTO, who was arrested with you as a result of the October Incident, had told you there had been no such communication. Now, will you tell us why it was that your conversation with HASHIMOTO's secretary related to a conference with ITAGAKI, DOHIHARA and ISHIHARA of the Kwantung Army and no others?

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At that time we were confined -- we stayed at Utsunomiya for fifteen days; and, because we had ample time at hand, we talked of various matters from the outbreak of the so-called Manchurian Incident until that time when we were at Utsunomiya.

And at that time we talked of ISHIHARA, ITAGAKI and DOHIHARA who were very well known figures, and I asked the secretary whether these men had had communication by letter, by telephone or by other means with HASHIMOTO. The secretary replied that he was not aware of any contract -- communication between the three mentioned: ISHIHARA, ITAGAKI and DOHIHARA, and he doubted very much they were in negotiations. He stated that there were no communications between HASHIMOTO and the three mentioned.

Q So you are the one who suggested that there may have been some communication between HASHIMOTO and these three persons of the Kwantung Army.

A I asked the question.

Q And your question only related to those three leaders of the Kwantung Army.

A Yes.

MR. TAVENNER: I have no further questions, your Honor.

THE PRESIDENT: Counsellor HAYASHI.

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REDIRECT EXAMINATION

TOTE	8.673	TTASTACTIT
HY	MK-	HAYASHI

Tavenner's cuestion you answered there was connection between the Sakura-kai Association and the October Incident. What sort of relation did the Sakura-kai Association have with the October Incident?

A The Sakura-kai as a whole had no connection whatsoever with the October Incident. However, several persons of those who were arrested in connection with the October Incident were members of the Sakura-kai. Therefore, in that respect, there may have been relations between the two.

O Mr. TAN/KA, Kiyose, concerning whom Mr. Tavenner asked questions yesterday, what was his rank at the time of the October Incident?

I believe he was a Captain.

O was he in any way related with the October Incident?

THE MONITOR: Did he have enything to do with the October Incident?

A He had no connection -- relations with the Incident.

Q Were you ever told that TAN/KA, Kiyose, was in any way connected with the March Incident?

1	A No, I have not heard of that.
1	Q Was TANAKA a leading member of the Sakura-
2	Kai Association?
3	A He was not an important member.
4	MR. HAYASHI: This concludes my redirect
5	examination.
6	I should like to have this witness called
7	to the court in the China phase, and may the witness
8	leave the court, your Honor?
9	THE PRESIDENT: He is at liberty on the
10	usual terms.
11	(Whereupon, the witness was
12	excused.)
13	MR. HAYASHI: Mr. Brooks will take charge
14	of the Manchurian Incident, continuing this case.
16	THE PRESIDENT: Captain Brooks.
17	MR. BROOKS: Mr. President, Mr. OKAMOTO
18	will present the next documents in the following two
19	sections, sections three and sections four of this
20	sub-division.
21	THE PRESIDENT: Mr. OKAMOTO.
22	MR. T. OKAMOTO: Mr. President and Members
23	of the Tribunal, we now present the sub-division
24	dealing with the independent status of Manchukuo and

problems subsequent thereto.

We now offer defense document 127 in evidence. It is the Independence Declaration of the New Manchu-Mongolian state, dated February 18, 1932, by the administrative committee of the Northeast.

THE PRESIDENT: Brigadier Nolan.

BRIGADIER NOLAN: If it please the Tribunal, the prosecution objects to this document. It is entitled "Independence Declaration of the new Manchu-Mongolian state," but an examination of the document itself would indicate that it hardly deserves that title. So far as I am able to gather, it is a plan for administrative reform formulated by a committee known as the Administrative Committee of the Northeast and appealing for support for that program.

I have not seen a certificate of origin or authenticity, but the prosecution objects to the document on the ground that it adds nothing to the proceedings except length and should be excluded particularly because, on the order of proof for today, there is another document known as "The Proclamation of Establishment of Manchuria," dated 1st of March, 1938.

THE MONITOR: 1932. Correct it, please.

THE PRESIDENT: This is dated ten days
earlier, the 18th of February, 1932. It could be

anything from the genuine declaration to the mere ravings of a lunatic.

MR. T. OKAMOTO: We desire to read the marked excerpts into evidence to show the manner of organization of this committee, the desire of independence and for separation from the National Party Government and the reasons therefor, also the purpose and policy adopted as basic in this Independence Declaration.

THE PRESIDENT: I refer to page 93 of the Lytton Report, the second paragraph. Is this document the Declaration of Independence referred to on page 93?

MR. T. OKAMOTO: That is right.

THE PRESIDENT: Does it add anything to what appears at page 93?

MR. T. OKAMOTO: I should like to submit to the Court the names of the people who made such declaration and also the manner in which such declaration was presented.

THE PRESIDENT: Where did you get it?

MR. T. OKAMOTO: Does your Honor wish to inspect the original? On the original there is a certificate of source and authenticity. I will read it.

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THE PRESIDENT: Where did you get it?

MR. T. OKAMOTO: Does your Honor wish to inspect the original? On the original there is a certificate of source and authenticity. I will read it.

"I, NAKAGAWA, Toru, Chief of Continental Affairs Section, Japanese Overseas Residents Division, Control Bureau of the Japanese Foreign Office, hereby certify that the document in Japanese hereto attached consisting 3 pages and entitled 'Independence Declaration of the New Manchu-Mongolian State, is an exact and true copy from a pamphlet entitled Spirit of Foundation of Empire -- Collection of Documents in Japanese language' issued by the Central Headquarters of the Concordia Society of Manchukuo. "Signed at Tokyo on this 12th day of August, 1946." Signature of the officer. THE PRESIDENT: In the future, our copy 14 should include the certificate. MR. T. OKAMOTO: I am sorry, your Honor. 16

THE PRESIDENT: By a majority, the document is admitted on the usual terms.

CLERK OF THE COULT: Defense document No. 127 is given exhibit No. 2425.

(Whereupon, the document above referred to was marked defense exhibit No. 2425 and received in evidence.)

Mi. T. OKAMOTO: I now read four paragraphs, starting with paragraph two, page 1 of defense document 127, exhibit 2425:

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"We, Ching-hui (Translator's Note: Chang Ching-hui calls himself by the first name in oriental manner) and the others, had the honor to be elected as leaders of the provinces and the district. Discarding the old and starting anew, we hope not to evade our responsibilities by transferring them to others.

"When we assembled here to corfer together on the foundation of our policies, all of us said unanimously, 'Without a solid organization we would not be able to manage the whole situation. Unless we act on the basis of the general will of the people, we would not be able to establish our new policy.' Accordingly, we established a new organization which consists of four provinces of the Northeast, one special administrative district, and the districts of the Kings and Princes of Mongolia, and named it the Administrative Committee of the Northeast. As soon as it was established, we dispatched official telegrams to all the internal and external authorities.

"Now, the four provinces and the district of the Northeast have established their independence completely, and have completed their separation from the National Party Government. With spirit of inde-

pendence afresh we should endeavor to plan administrative reforms.

"Now we remember the time when the warlords misruled this country and extorted the people arbitrarily for which reason the people felt as though they were in a fire or in deep water, a condition whereby they were not even able to protect their very lives. Even now, although tears that were shed throughout the country are not yet dried, they (Translator's Note: that is, bandits and the like) still retain their power comparable to the claws and fangs of tigers and wolves. We must be ready therefore to completely eradicate them so that these 'branches and twigs' may not be able to spread and prevail."

Duda & Whalen

I skip to the third line on the bottom of page 2 and read to the end of the 2d sentence on the next paragraph.

"In this spirit, we heartily desire not to hold anti-foreign policies, to cease international conflicts, to have the open door anew, and with the principle of equal opportunity to live and prosper together with the peoples of the world.

"This is the second of the appointed tasks of this Committee. To secure domestic peace, and to enter into amicable relations with other nations is the foundation of government."

I now read the next two paragraphs on page 3.

"Accordingly, we should encourage and stimulate every branch of business, promote farming and
commerce, gradually increase those who earn their living
for themselves, decrease the number of the unemployed,
thus sharing the returns of society, and then conflicts between classes will disappear of themselves.
In this manner shall we avoid communization and secure
our democratic policies. This is the third of the
appointed tasks of this Committee.

"For the achievement of these three missions, we, Ching-hui and the others hereon have organized this Committee, in order to secure happiness for the

sake of the people in the four provinces and the district in the North East. This again, we believe is based on our desire to secure happiness for the various people, of all East Asia,"

We now offer defense document 189 and desire to read only the marked excerpts on pages 9 to 10, and on pages 21 to 24 into evidence. It is the observations of the Japanese Government on the establishment of Manchukuo and sets out wherein the Lytton Report is very far from being in accordance with the facts and where the commission appears to have entirely left out of sight certain information supplied them and points out other additional information that was not elaborated on at the time.

BRIGADIER NOLAN: If it please the Tribunal --THE PRESIDENT: Brigadier Nolan.

at page 18,765 of the record was rejected by the Tribunal in these words. I quote it: "The Tribunal
thinks that this document which has just been tendered
contains practically nothing but argument." And at the
bottom of that page the objection is upheld and the
document rejected.

MR. T. OKAMOTO: May I say a few words on that point?

THE PRESIDENT: You may.

MR. T. OKAMOTO: It is quite true that this document was rejected once on the ground that it contained nothing but argument. However, we respectfully submit that there are statements of fact, even quotations of evidence, such as, firstly, on page 9, the last sentence of the third paragraph, stating that in his declaration of May 1922 Chang Tso-lin expressly says that the North Eastern Provinces are not recognized as territories of the Republic of China,---

THE PRESIDENT: It so happens that that particular statement is not marked in our copies.

MR. T. OKAMOTO. I am sorry, I did not mark
it. I had no time to correct the marking this morning.

And further it quotes as a feetnete his telegram addressed to all foreign ministers and consuls in Peking and Tientsin.

THE PRESIDENT: If you have marked all the statements of fact we may take a different view.

There apparently are some statements of fact, and you are tendering only those. Can you prove those statements otherwise than by tendering this document, or parts of it? Why tender forty pages of single space typing to prove a few statements of fact?

Mh. T. OKAMOTO: I understand, your Honor,

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author knew to be so.

The prosecution objects to this document on the ground that we have objected to excerpts from writings of a like character containing as they do the particular and personal opinions of the author.

THE PRESIDENT: By a majority the Court upholds the objection and rejects the document.

that this kind of evidence cannot be found in any other varieties of documents now in our possession.

May I also call the attention of the Tribunal -THE PRESIDENT: By a majority the Court rejects the document and upholds the objection.

MR. T. OKAMOTO: We now offer in evidence defense document 177.

THE PRESIDENT: Apparently there is no objection.

MR. T. OKAMOTO: These excerpts are from Sir Reginald Johnston's book entitled "Twilight in the Forbidden City," and he refers on page 262 to the Lytten Report statement that the Manchurian--

THE PRESIDENT: Brigadier Nolan.

BRIGADIER NOLAN: I think I should object at this point otherwise the document will be in the record in its entirety.

This document commences by setting out what might have happened if certain other things which didn't happen had happened, as will be demonstrated by looking at the first five lines of the first page of the document. It then goes on to discuss the Lytton Report by a statement quoting an excerpt from page 262 which begins: "Knowing this to be so, I have found it difficult--" there is no indication what the

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MR. T. OKAMOTO: We now offer defense document 104 in evidence. It is the Imperial Enthronement
Rescript whereby Pu-Yi, Regent, became Emperor, and
setting forth his observations thereon and reasons
therefor as will be connected up with other evidence
to show voluntarily he acted in administrative
matters and that he requested advice and assistance but
did not take direction or orders from anyone.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 104

will receive exhibit No. 2426.

(Whereupon, the document above referred to was marked defense exhibit No. 2426 and received in evidence.)

MR. T. OKAMOTO: I now read exhibit 2426.

"We, the Emperor divinely enthroned from
Heaven, would proclaim thus: Two years have elapsed
since Our country entitled Manchou, was founded on a
basis of benevolent government and fair dealing with
our friendly neighbors. In its early days we
suffered from the lawlessness and ravenousness of bandits
who were bent on a life of ease and comfort evading
military service. The good people, in spite of their
ardent cry for Heaven's help, could not stand on their
own feet. At this juncture the Japanese Empire,

disregarding the suspicions and adverse criticisms from the rest of the world, came forward to Our aid. Thanks to Japan's unprecedented services rendered in Our behalf, we have seen the more serious problems solved all but completely.

"Heaven has most graciously empowered Us to reign over the country. Peasants have pledged their loyalty to Us and war refugees have gradually returned to their homes. Popular voices are freely raised and public opinion formed. The internal disturbances have been largely suppressed. Prospects are no as brilliant as the dawn of day.

"Know ye, Our subjects: Heaven is by no means partial in dealing with men. Heaven helps only the virtuous. There is a will on the part of the people; without a master, however, they are easily thrown into a state of confusion. The people, therefore, are appealing for a right person for the throne. And every one consulted expressed his hearty approval. How could we hesitate to obey the divine call? On this first day of the third month of the third year of Tai T'ung do we ascend the Throne, changing the era to K'ang Te, which commences on this date. Our country shall now be renamed Manchoukuo. Nevertheless, internal disturbences might yet arise at any moment.

How should we remain inactive at such a critical moment?

"As for the measures and policies of defense and administration of Our country, we will cooperate in perfect accord with the Japanese Empire and can confidently hope for everlasting national security. The clauses of the body of laws governing the state and the treaties concluded shall remain substantially unchanged.

"Although there are several races and tribes residing within our boundaries, let us, with an open mind, endeavor to respect our common interests.

"Know ye, Our subjects: The truth of these words of Ours is self-evident like the rising sun. It is Our wish that ye would faithfully conform to every word of Our Rescript."

We now offer defense document 131, and desire to read the marked excerpts into evidence.

THE PRESIDENT: What are they?

MR. T. OKAMOTO: This document is a speech of MATSUOKA, Japanese chief delegate at the League of Nations. The speech was made at the League Council on November 21, 1932. The text is taken from a collection of documents relating to the League of Nations dated December 1932. The speech points out where further study and evidence needs submission and should be of value to

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the Tribunal in determining the value of such additional evidence as was not before the commission.

THE PRESIDENT: Brigadier Nolan.

BRIGADIER NOLAN: The prosecution objects to defense document No. 131. It is recapitulation of the argument of the Japanese Government against the Lytton Report. It refers to the observations of the Japanese Government which were contained in defense cocument 189, which has been rejected by the Tribunal. We ask the Tribunal to reject document 131 upon the same grounds.

MR. T. OKAMOTO: May I call the attention of the Tribunal to page 6, the third paragraph from the bottom, where there is a statement that "Both Baron Shidehara, our Minister of Foreign Affairs, and General Minami, Minister of War, issued telegraphic instructions on September 26, forbidding participation by Japanese military and civil officials and other Japanese in the various attempts already being made to establish a new political order in Manchuria."

THE PRESIDENT: Isn't there other evidence of that?

MR. T. OKAMOTO: In view of the impossibility of obtaining the original instructions of SHIDEHARA and MINAMI, and inasmuch as this speech is an

authentic announcement of the Japanese Government at the League of Nations, we have to offer this document as the second best evidence of the policy and attitude of the Japanese Government at that time. 4

THE PRESIDENT: By a majority, the Court upholds the objection and rejects the document.

MR. T. OKAMOTO: We now offer defense document 105. It is the Imperial Rescript on Japan's withdrawal from the League of Nations, dated 27 March 1933. This excerpt shows that respect for the independence of Manchukuo was deemed essential to world peace and that Japan's obligations under treaty to the new state were one cause of her withdrawal from the League.

THE PRESIDENT: Admitted on the usual terms. CLERK OF THE COURT: Defense document 105 will receive exhibit No. 2427.

(Whereupon, the document above referred to was marked defense exhibit No. 2427 and received in evidence.)

MR. T. OKAMOTO: I now read paragraph 2 on page 1 of exhibit 2427.

"Now relative to newly arisen Manchoukuo, Our Empire deems it essential to respect the independence of the new state and to encourage its healthy development, in order that the sources of evil in the

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Far East may be eradicated and thus form a foundation for world peace. Unfortunately, however, there exists a wide divergence of view in this regard between Our Empire and the League of Nations and it has devolved upon us to cause Our government to take, upon mature deliberation, the necessary steps for our withdrawal from the League."

evidence. This is the final protocol between the USSR and Manchukuo signed March 23, 1935, and shows defacto recognition of Manchukuo by Russia.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document 57 will receive exhibit No. 2428.

(Whereupon, the document above referred to was marked defense exhibit No. 2428 and received in evidence.)

IR. T. OKAHOTO: I desire to read only article 2 into evidence.

"The stipulation of Article 5 of the Agreement concerning the property which is to be placed under the occupation and management of the community of the citizens of the Union of Soviet Socialist Republics in Harbin shall not in any way preclude the application of the law of Hanchoukuo as to the said property, its occupation and management."

We now offer defense document 27 into evidence. It is the proclamation on the Establishment of Manchukuo dated March 1, 1932, and made by the Department of Foreign Affairs of the Manchukuo

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Government.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document No. 27

will receive exhibit No. 2429.

(Whereupon, the document above referred to was marked defense exhibit No. 2429 and received in evidence.)

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"The territories of Manchuria and Mongolia form a region remote and isolated on the Continent of Asia. The records of the past show that its history is a long one; that the country experienced unions and disunions within its borders; and that the soil of the land is fertile and the people honest and simple in their manners and customs. After the country was opened to intercourse with outside countries the population increased in numbers and the products in volume, thus turning the country

into a land of abundance and promise.

MR. T. OKAMOTO: I now read exhibit 2429:

"On the contrary, since the establishment
of the Chinese Republic following the revolution of
1911, the military factions of the Northeastern
Provinces, taking advantage of civil wars in China
Proper, usurped its administrative power, and brought
the Three Eastern Provinces under their control.
Twenty years have elapsed since the revolution,
during which time one warlord had succeeded another,
each of whom, completely disregarding the welfare of
the people, indulged himself in greed, extravagance
and dissipation. While they were bent upon the
pursuit of their self-interest and cupidity, the people,
on the other hand, were burdened with over-taxation at

the will of the warlords. As a result the currency system witnessed complete ruin, and the business of the country became stagnant and finally collapsed.

"At this critical time, again, the warlords, giving rein to their ambition, advanced their army into the country south of the Great Wall, and caused unnecessary strife, killing and wounding a large number of people. Although they suffered defeat many a time, they never realized their own folly; they have lost the confidence and respect of the foreign powers. They waged wars with neighboring countries; and with utter disregard to the spirit of friendliness and cordiality towards foreign countries, they encouraged anti-foreign movements.

"The laxity in the police administration caused disturbances in the country and gave rise to ravages by thieves and bandits. Acts of looting, arson and massacre by these lawless elements terrified the entire population and exposed them to hunger in all corners of the country. To leave these thirty million people of Manchuria and Mongolia further in their hands within the border would have meant their exposure to atrocity and lawlessness and finally to their extinction. The people earnestly desire to extricate themselves from this extreme danger and

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sorrow. Happily, through the aid of the army of a neighbor power, it has been possible to expel these corrupt elements from the area where they had entrenched themselves for many years past. The home of misrule and corruption is thus now being given a thorough cleaning. This we believe is a Heaven-sent opportunity to the people of Manchuria and Mongolia to shake off their shackles, who should, therefore, rise to the occasion and strive for regeneration and rebirth with courage and determination.

"Turning our eyes to China Proper we note
that the rival warlords have engaged in intermittent
warfare ever since the revolution took place. Of
late years despotic rule over the country was exercised by one party alone. Under the guise of the
"Three Principles of the People," the people are put
to death in the name of Minsheng (that is, welfare
of the people); their leaders are bent only upon
promoting their own interests and indulging in their
greed in the name of Min-chuan (that is, right of
the people); and in their eyes there is nothing outside
their own party although they profess the principle
of Min-tsu (that is, government of the people). In
this manner, though they declare that the country is
ruled with fairness and equality, the practice of the

party leaders is in utter contradiction to what they profess, thus not only deceiving themselves but the people at the same time.

by illegal partitioning of one another's territory have been frequent in China Proper. Even the existence of the Kuomintang party itself is now in danger. In these circumstances, it is impossible to expect from them any regard for national welfare. The country is at present overrun by Communist bands whose poisonous influences are fast eating into the flesh of the people and the very heart of the national government. In the face of these deplorable donditions we are compelled to look to the days of the golden age of our history. It should be noted that this feeling of ours is equally shared by friendly nations abroad.

"The result of twenty long years' experiences has convincingly taught us that we must bravely face the realities and take upon ourselves the task of reforming our national life and of bringing about a new golden age. The fact must be borne in mind, however, that evil influences are still with us, and should we evade the issue at this time and fail to check the spread of Communism, it is obvious that the destruction of the nation is inevitable. In this hour

of crisis unless the people of Manchuria and Mongolia awake to realization of the Heaven-given opportune call to liberate themselves from this corrupt political state, their extinction, too, will have to be faced.

"After thorough deliberations for several months past at numerous meetings the leaders of Fengtien, Kirin, Heilungkiang and Jehol Provinces, Harbin Special District, and those under various banners of Mongolia, have come to a unanimous conclusion to adopt a practical application of good rule rather than a display of words in the administration of State affairs. Under whatever form of government, the primary duty of the State is to assure the inhabitants peace and security.

"Manchuria and Mongolia constituted in the past a separate State detached from China Proper. The present situation places us in a position to strive for our own national independence. Accordingly, by the will of the thirty million people, we hereby declare on this day that we sever our relations with the Republic of China and establish the State of Manchuria, and we hereby make a public proclamation of the fundamental principles on which this new State is founded.

"1. We believe that statecraft should be founded upon the principle of Tao or the Way, and Tao

founded upon Tien or Heaven. The principle on which this new State is based is to follow Tien or Heaven that the people may have peace and security. The government must be approved by the people and no man's personal views shall be permitted to influence the affairs of the State.

"2. There shall be no discrimination with respect to race and caste among those people who now reside within the territory of the new State. Besides the races of the Hans, Manchus, Mongols, Japanese and Koreans, the peoples of other foreign countries may upon application have their rights guaranteed.

"3. In internal affairs the new State will reject the policies adopted in the dark days of the past. It will revise laws and enforce local autonomy, draft able men into the service of the government and elevate the officials deserving promotion, encourage industry, unify the currency system, open up the natural resources of the country, endeavor to maintain a good standard of living for the people, adjust and regulate the administration of the police, eliminate banditry, and promote and popularize education, respect Li-chiao, the teachings of Confucianism, and apply the principle of Wang-tao, the Way of Benevolent

Ruler, and practice its teachings. These, we believe will enlighten the people to maintain the honor of perpetuating the peace of the Far East and thus set an example of model government to the world.

"4. The foreign policy of the new State shall be to seek and further promote cordial relations with foreign powers by winning their confidence and respect, and to observe strictly international conventions. Financial obligations incurred within the territory of Manchuria by treaty stipulations with various countries prior to the establishment of the new State shall be met according to the usual international conventions. Foreign investments by any nation shall be welcomed for the furtherance of trade and the exploitation of natural resources, thus bringing the principles of the Open Door and Equal Opportunity and the like to a fuller realization.

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"The foregoing articles constitute the fundamental principles underlying the establishment of the new State. The newly-formed Government will bear all responsibilities thereof from the day of the 23 creation of the new State and the Government hereby pledges upon oath to the thirty million inhabitants that these shall be faithfully carried out."

We now offer defense document 299 into evidence. This is an excerpt from 1936 Japan-Manchukuo Year Book and sets forth the occupants of leading Manchukuo government posts in 1935.

We do not intend to read it but offer it for the Tribunal's use in following certain individual careers of parties named in other exhibits and to show the representation of the five races of people in Manchukuo.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document

No. 299 will receive exhibit No. 2430.

(Whereupon, the document above referred to was marked defense exhibit No. 2430 and received in evidence.)

ment 250-D into evidence. It is MATSUOKA's speech of February 24, 1933, to the assembly against adoption of the draft report of the committee of nineteen and his statement why Japan cannot agree and accept it.

THE PRESIDENT: Admitted on the usual terms.

IR. T. OKAMOTO: Particularly, I would like to call to the attention of the Tribunal item 5 on page 2 and item 15 on page 6, which are statements of fact.

No. 250-D will receive exhibit No. 2431.

(Whereupon, the document above referred to was marked defense exhibit No. 2431 and received in evidence.)

THE PRESIDENT: Do you propose to read any part of it?

MR. T. OKAMOTO: I just pointed out paragraph 5 on page 2 and also 15 on page 6. I was interrupted. I haven't had time, your Honor.

THE PRESIDENT: We will recess for fifteen minutes.

(Whereupon, at 1045, a recess was taken until 1100, after which the proceedings were resumed as follows:)

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MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now resumed.

THE PRESIDENT: Mr. OKAMOTO.

MR. T. OKAMOTO: I shall read from page 2, item 5, of exhibit 241.

"Since the beginning of the revolution which has shattered China into parts, all of what were called, under the Manchu Dynasty, dependencies of the Empire have been lost to the Republic. Over none of these former dependencies has China any longer any control. Tibet is independent; Chinese Turkestan is completely cut off from contact with China proper; Outer Mongolia became many years ago a part of the Soviet Union. Only Manchuria has remained down to the last year a part of China -- a part by measure of contact and association under the nominal sovereignty of that country. To say that Manchuria was under the full sovereignty of China would be a distortion of the actual and historic facts. Now this territory has gone; it has become an independent state."

I skip to page 6, item 5:

"In this connection, let me say a word regarding the population of Manchoukuo. A false impression has been given to the world by the Lytton Report on this subject. There were no authentic

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statistics upon which the Commission could base its view. No reliable Chinese census has ever been taken, even of China Proper, and any figures placed before the Commission by the Chinese authorities could not be regarded as dependable. For many years, the racial term 'Chinese' has been applied, particularly by foreigners, including the Japanese, to most of the people of the Chinese Empire. But this laxity in precise expression should not be taken to mean that Manchus and Mongols, or even the people of China Proper, are all of a single racial stock.

"The majority of the people of Manchoukuo are distinctly different from those of China. Even the people of North China, from the provinces of Shantung and Hopei, who have migrated to Manchuria to an extent of several millions in recent years, are strikingly different from those of other Chinese provinces, different from those of the Yangtze Valley, more different from those of South China, radically different from those of Western China, different in physical appearance, different in many of their customs and in some cases even in their language. But even these immigrants who have gone from China to Manchuria in recent years do not form the bulk of the population. They form probably but a tenth, and at

most, but a fifth of it. The great body of the population can be properly described as Manchurian. It is formed by descendants of the old Manchu stock, by the old Chinese stock which affiliated itself with the Manchus in former years, and by Mongols. The great majority of these people have never lived in China and have no such attachment with that country as the Lytton Report describes. Here the Report was clearly in error."

THE PRESIDENT: Brigadier Nolan.

BRIGADIER NOLAN: Mr. President, may I draw the attention of the Tribunal to certain paragraphs of exhibit 2431? Paragraph 9, on page 4, paragraph 20, on page 11, and paragraph 22 on page 12.

THE PRESIDENT: Mr. OKAMOTO.

MR. T. OKAMOTO: We now offer defense document 250-B into evidence. It is a statement of the Japanese Government to the League of Nations by virtue of paragraph 5, Article 16 of the Covenant. I wish to read only from page 17 to 20 of Part III, which deals with the impracticabilities of the Recommendations of the League.

THE PRESIDENT: Brigadier Nolan.

BRIGADIER NOLAN: If it please the Tribunal, we object to defense document 250-B in that it is once

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more a recapitulation of the argument of the Japanese Government. Part I of the document deals with Japanese ese cooperation with the League of Nations. Part II points out errors in the report concerning the chief characteristics of the dispute, and Part III deals with the impracticabilities of the recommendations.

This Tribunal has said that it does not propose to turn itself into an inquiry determining the respective merits of the Lytton Report and the Japanese reply. The prosecution submits that this document brings forward no new facts and ought to be rejected in its entirety.

MR. T. OKAMOTO: As the Tribunal may direct.

THE PRESIDENT: Have you any argument to

offer?

The objection is upheld and the document rejected.

MR. T. OKAMOTO: We now offer defense document 278 into evidence. It is an excerpt from the Japan-Manchukuo Year Book of 1937 and sets forth Japan's policy regarding abolition of extraterritoriality in the new state of Manchukuo.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document No. 278

will receive exhibit No. 2432.

(Whereupon, the document above referred to was marked defense exhibit 2432 and received in evidence.)

MR. T. OKAMOTO: I shall only read the first paragraph on page 1 and then skip to page 2.

"On August 9, 1934, the Japanese Government issued the following statement in the form of remarks by the authorities of the Foreign Office."

I skip to the third paragraph of page 2:

"Japan has for many years enjoyed in Manchuria extraterritorial rights, which under the conditions existing prior to the establishment of Manchoukuo, were important factors which were essential to Japanese progress in Manchuria. However, with the development of Japanese policy towards Manchuria, these factors have gradually come to lose their importance.

"At the same time it has become necessary to relinquish these rights if Manchoukuo is to be allowed to attain full development.

"Such a relinquishment is also essential for real harmony between the Manchoukuo and Japanese nations, and for the strengthening of the friendly and indivisible relationship between the two countries which is required if full progress of Japanese nationals in Manchoukuo is to be made possible as well as assured.

"As for the South Manchuria Railway zone, it was obtained by our country in the Russo-Japanese war at the risk of our national fortune.

"Since then for 30 years it has been administered with untiring energy.

"Needless to say, it has formed the basis of Japanese development in Manchuria.

"Since the foundation of Manchoukuo, it has become necessary to readjust and transfer Japan's administrative right in the railway zone gradually, owing to the new situation arising as a result of the establishment of the new State.

"The Japanese Government, consequently, at a cabinet meeting on August 9, 1934, decided upon the following principles concerning the relinquishment of extraterritoriality and the readjustment and transfer of administrative rights in the S.M.R. zone, and is planning to devise concrete measures to be carried out step by step:

"(a) In accordance with the spirit of past agreements and in step with the improvement of various systems and facilities in Manchoukuo, Japanese extraterritorial rights in Manchoukuo shall be relinquished gradually so as to avoid any sudden change in the life of our nationals in that country.

"In relinquishing such rights special consideration will be given to the desire to see a greater development of the Japanese citizens throughout the whole of Manchoukuo and to the necessity of a smooth execution of Japanese policy towards Manchoukuo.

"(b) The S.M.R. zone itself will of course be retained by Japan, but Japan's administrative rights in the said zone shall be readjusted and transferred in view of their connection with the cession of extraterritoriality mentioned above.

"Such readjustment and transfer shall be undertaken as improvements are made in the various systems and facilities of Manchouko, and in step with the gradual retrocession of extraterritorial rights and in accordance with the nature of each matter to be affected thereby.

"Finally a word must be added here that the lease of the Kwantung territory is a very different matter, in origin as well as nature, from the administrative rights within the S. M. R.

"Consequently, it is obvious that a readjustment and transfer of these rights will have no bearing or connection whatsoever upon the question of the lease of the Kwantung Territory."

That is the end of the reading.

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The attached pages are corrected pages and should be substituted for the corresponding pages in the record.

We now offer defense document 382 into evidence. It is to show <u>de facto</u> recognition of Manchukuo by Russia. It is an agreement between the Navigation Bureau at Harbin, Manchukuo, and the Navigation Bureau at Amur, U.S.S.R., concerning improvement of navigation and was signed on September 4, 1934.

THE PRESIDENT: Brigadier Nolan.

BRIGADIER NOLAN: It if please the Tribunal, we object to defense document 382, which purports to be an agreement in respect of navigation. In our submission, an agreement concerning a matter of this kind can have no bearing on the issues before the Tribunal.

MR. T. OKANOTO: This agreement will show the understanding between Manchukuo and the U.S.S.R. But we do not offer to read it into evidence, simply submitted in cvidence.

THE PRESIDENT: Agreements with <u>de facto</u>
governments are common enough. As one of my colleagues
observes, it is cumulative, as there was an acknowledgment of Manchukuo. This was proved by exhibit 2428.
However, a majority of the Tribunal admit this document.

We overrule the objection. It is admitted on the usual terms.

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JIRO MINAMI, one of the accused, being first duly sworn, testified through Japanese interpreters as follows:

THE PRESIDENT: Mr. Tavenner.

MR. TAVENNER: If your Honor please, we have been informed by the defense counsel that the present witness, who is one of the accused, will testify only regarding the general phase now under consideration and that the defense intends to produce the witness at least on one other occasion in later phases of the case. We think as a matter of procedure that we should object to the division of the accused's testimony during the phases of the case. We would be very much opposed to cross-examining him piecemeal. I assume the Tribunal would not compel us to cross-examine even in the event he is permitted to testify now.

right to examine. We are not restricted by any federal rule. Our authority to examine is not to be identified with that of the prosecution or of the defense but is something entirely independent. However, I can see that if the federal rule is applied it may seriously restrict cross-examination, but that could be said of cross-examination by the defense of prosecution's witnesses also. The prosecution

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asked for this division. It is very difficult to deny it to the defense. But it may throw on the Tribunal a function ordinarily discharged by the prosecution; that is the function of subjecting the witness to a thorough and complete examination.

MR. TAVENNER: As to the question of crossexamination, I am not familiar with any rule that requires the prosecution to cross-examine before an accused has fully completed his testimony.

THE PRESIDENT: I haven't suggested otherwise.

MR. TAVENNER: Yes.

THE PRESIDENT: I do suggest that if he is examined piecemeal like this you may be seriously restricted. We will hear what you both have to say because we must confer about this; it is so important.

MR. TAVENDER: I have raised this question regarding cross-examination in order to show that by a procedure of this kind as to an accused that it is bound to result in confusion because we do not feel that the Tribunal will insist upon our exhausting our right of cross-examination in a piocemeal fashion. We haven't raised the question of division as to a witness proper, but an accused stands in a different situation in regard to that. Of course, should defense counsel desire to examine the accused fully

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author knew to be so.

The prosecution objects to this document on the ground that we have objected to excerpts from writings of a like character containing as they do the particular and personal opinions of the author.

THE PRESIDENT: By a majority the Court upholds the objection and rejects the document.

that this kind of evidence cannot be found in any other varieties of documents now in our possession.

May I also call the attention of the Tribunal -THE PRESIDENT: By a majority the Court rejects the document and upholds the objection.

MR. T. OKAMOTO: We now offer in evidence defense document 177.

THE PRESIDENT: Apparently there is no objection.

MR. T. GKAMOTO: These excerpts are from Sir Reginald Johnston's book entitled "Twilight in the Forbidden City", and he refers on page 262 to the Lytton Report statement that the Manchurian --

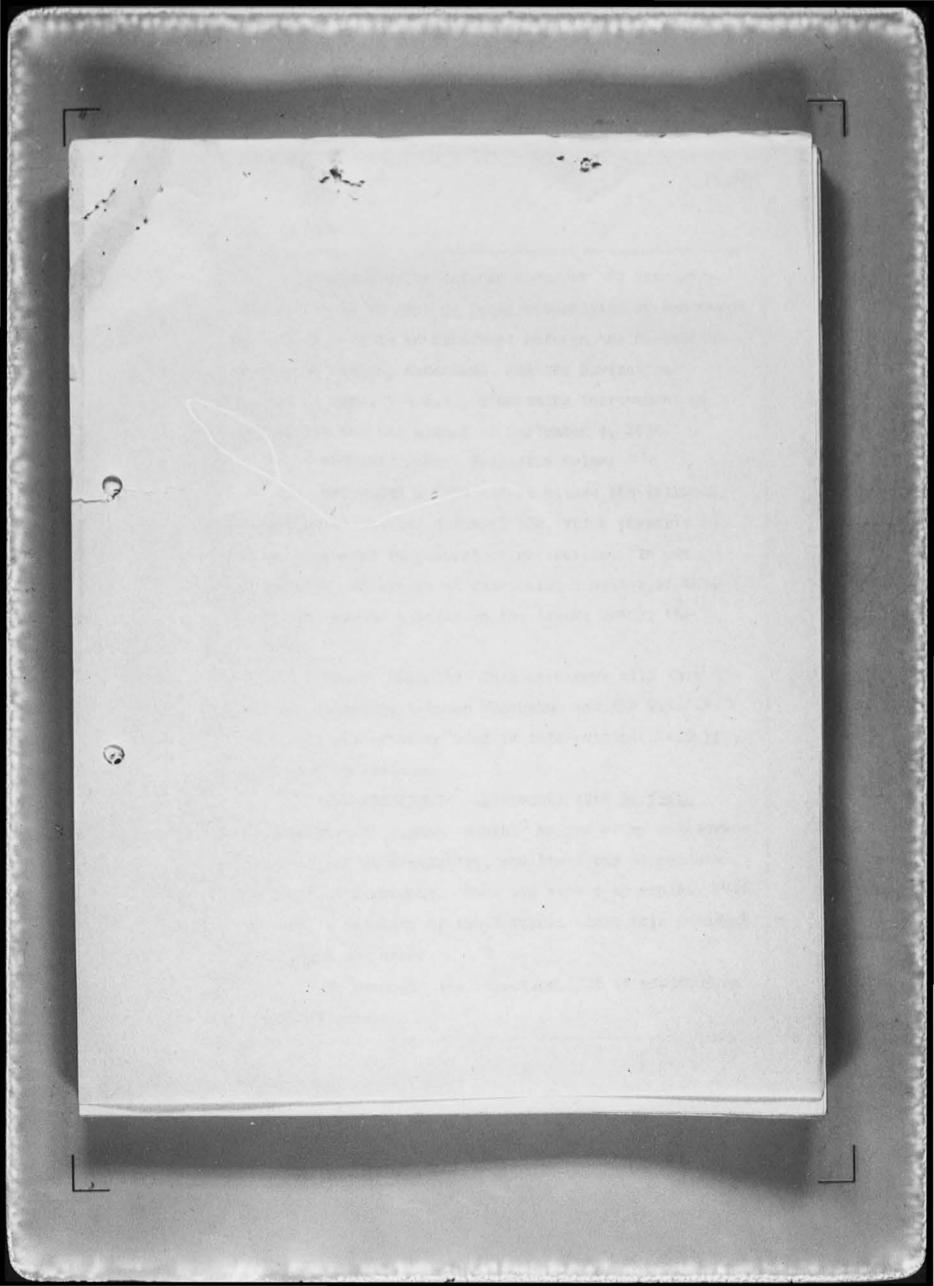
THE PRESIDENT: Brigadier Nolan.

BRIGADIER NOLAN: I think I should object at this point otherwise the document will be in the record in its entirety.

This document commences by setting out what might have happened if certain other things which didn't happen had happened, as will be demonstrated by locking at the first five lines of the first page of the document. It then goes on to discuss the Lytton Report by a statement quoting an excerpt from page 262 which begins: "Knowing this to be so, I have found it difficult--" there is no indication what the

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We now offer defense document 382 into evidence. It is to show de facto recognition of Manchukuo by Russia. It is an agreement between the Navigation Bureau at Harbin, Manchukuo, and the Navigation Bureau at Amur, U.S.S.R., concerning improvement of navigation and was signed on September 4, 1934.

THE PRESIDENT: Brigadier Nolan.

BRIGADIER NOLAN: If it please the Tribunal, we object to defense document 382, which purports to be an agreement in respect of navigation. In our submission, an agreement concerning a matter of this kind can have no bearing on the issues before the Tribunal.

MR. T. OKAMOTO: This agreement will show the understanding between Manchukuo and the U.S.S.R. But we do not offer to read it into evidence, simply submitted in evidence.

governments are common enough. As one of my colleagues observes, it is cumulative, and there was no acknowledgment of Manchukuo. This was proved by exhibit 2428. However, a majority of the Tribunal admit this document and reject the other.

We overrule the objection. It is admitted on the usual terms.

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CLERK OF THE COURT: Defense document No. 382 will receive exhibit No. 2433.

(Whereupon, the document above referred to was marked defense exhibit No. 2433 and received in evidence.)

MR. T. OKAMOTO: However, we will not read

THE PRESIDENT: I should have said we accept the document.

BRIGADIER NOLAN: May I be permitted to refer to exhibit 2433, which has just been marked, and draw the attention of the Tribunal to the names of the signatories, to be found on pages 3 and 4 of the document? The Tribunal will note that some members appear at least to be Japanese names.

MR. T. OKAMOTO: We now offer defense document 70 into evidence. It is the agreement between Manchoukuo and the U.S.S.R. for the cession to Manchoukuo of the rights of the U.S.S.R. in the Chinese Eastern Railway, signed March 23, 1935. We offer this to show de facto recognition by Russia of the new state of Manchoukuo. However, we will not read this into evidence.

THE PRESIDENT: Mr. Comyns Carr.
MR. COMYNS CARR: Your Honor, we object to

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this document on the ground that the fact that such an agreement took place has already been proved by prosecution exhibit 443, and the terms of the agreement, in our submission, are immaterial.

THE PRESIDENT: You don't propose to read it?
MR. T. OKAMOTO: No.

THE PRESIDENT: By a majority the Court rejects the document and upholds the objection.

MR. T. OKAMOTO: We now offer defense document 295 into evidence. It is the treaty of amity between Manchoukuo and Germany, signed May 12, 1938. We will not read this document, and offer it as proof of recognition of the new state by Germany.

MR. COMYNS CARR: Again in this case, your Honor, the fact has been proved by prosecution exhibit 242, and even if it is not proposed to read the document, it is, in my submission, repetitious.

THE PRESIDENT: The last decision covers this. The objection is upheld and the document rejected.

Why don't you seek agreement on these points?

In any view, it is an unnecessary waste of material and labor.

MR. T. OKAMOTO: Our purpose in offering this evidence was different from that of the prosecution.

THE PRESIDENT: This material is being rejected as cumulative, as unnecessary proof.

MR. T. OKAMOTO: Then, may I offer defense document 294 into evidence? It is the notes exchanged concerning the recognition of Manchoukuo by the kingdom of Rumania on December 1, 1940.

THE PRESIDENT: Any objection?

MR. COMYNS CARR: Your Honor, this fact has not been proved by the prosecution, and if the Tribunal considers it material to note it, we can't object to the document.

THE PRESIDENT: Why not admit the fact and keep all this material out of the record?

MR. COMYNS CARR: If we had been asked to do so we would have, your Honor, as we have been asked to admit.

THE PRESIDENT: You can admit it now. We will accept your admission, Mr. Comyns Carr.

MR. COMYNS CARR: I would just call attention to two points about the document. First, it is dated December, 1940. Second, although it purports to be an agreement between Rumania and Manchukuo, it was executed in Tokyo.

Your Honor, I am willing to admit those facts, that there was such an agreement executed in Tokyo on

the 3rd of December 1940, and thus save the document encumbering the record.

MR. T. OKAMOTO: May I understand that the prosecution admits the recognition of Manchukuo by Rumania? The defense will try to allow the stipula-

I shall not read this document.

tion of this kind of evidence later on, your Honor.

THE PRESIDENT: I think you ought to withdraw it, because it is unnecessary.

MR. T. OKAMOTO: Then, I shall withdraw the document.

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We now offer defense document 61 into evidence. It is a "Draft of the U.S.-Japanese Understanding, dated April 16, 1941."

THE PRESIDENT: Mr. Tavenner.

MR. TAVENNER: I object to the introduction of this document. It is the same document as prosecution exhibit No. 1059 with the exception of the title and two sentences, and, notwithstanding the title in the defense document No. 61, it is not actually an agreement between the U.S. and Japan. It is, in fact, nothing more than unofficial negotiations that were conducted between certain private Japanese and American citizens. All of that is fully explained in the record at the place where document 1059 was introduced in evidence.

THE PRESIDENT: What are the two sentences?

LR. TAVENNER: I would like to point out
the entire changes or differences in the document.
The title in exhibit 1059 is "Proposal presented to
the Department of State through the medium of
private American and Japanese individuals on April
9, 1941," whereas, the title in defense document 61
is "Draft of the U.S.-Japanese Understanding, dated
April 16, 1941 (Incoming Telegram No. 234, dated
April 17.)"

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The following sentence in exhibit 1059 is omitted in the defense & cument 61, page 400, quoting from "Foreign Relations of the U.S.-Japan, 1931-41," the last three lines:

"Should the Chiang-Kai-Chek regime reject the request of President Roosevelt, the United States Government shall discontinue assistance to the Chinese."

The other change is at page 402, paragraph VII (c):

"c. The Government of Japan requests the friendly and diplomatic assistance of the Government of the United States for the removal of Hongkong and Singapore as doorways to further political encroachment by the British in the Far East."

Except for the title and the two sentences omitted in defense document 61 they are identical.

THE FRESIDENT: If you agree, Mr. OKAMOTO, the document may be withdrawn.

MR. TAVENNER: Exhibit 1059 is the original document and the original document was compared with the defense document 61 in making this summary for me.

THE PRESIDENT: We will hear Mr. OKAMOTO.

Out the document 61 is different from the prosecution's exhibit. In the first place, the date and also by

showing that this document is an incoming telegram of the Foreign Office, which fact is evidenced by the certificate of source and authenticity attached on the back, and the paragraph three on page three of this document showing the recognition of Manchukuo by the United States as one of the conditions of this agreement. In particular, item h on page four, and as this telegram received by the Foreign Office is the basis of negotiations between the United States and Japan at that time, we should like to offer this as evidence brought out from the prosecution exhibit as showing the attitude of the United States towards Manchukuo.

THE PRESIDENT: You can still rely on exhibit
No. 1059. There is no need to have an additional
document. The fact is that you admit what Mr.
Tavenner says about the difference in the two documents.

IR. T. OKAMOTO: We do not know anything about exhibit 1059, but we do know that defense document 61 is an official document.

MR. TAVENNER: May I reply to the last statement?

THE PRESIDENT: The original is in evidence; the original. The document is rejected and the objection is upheld.

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MR. T. OKAMOTO: We now offer defense document 489 into evidence. It is the speech of Mr. HIROTA as Foreign Minister at the House of Representatives on January 21, 1936, published in the Official Gazette of January 22, 1936 of the proceedings of the 68th Diet Session.

THE PRESIDENT: Admitted on the usual terms.

CLERK OF THE COURT: Defense document No.

489 will receive exhibit No. 2434.

(Whereupon, the document above referred to was marked defense exhibit No. 2434 and received in evidence.)

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MR. T. OKAMOTO: I read, storting with the last paragraph on page 1 of the exhibit:

"It gives us a great delight that our ally, the State of Manchukuo is making steady progress and development year after year. As her relations with our Empire have become ever closer, we are intent on contributing to her independence and development, by gradual abolition of the extra territoriality which we are now enjoying in that country and the gradual adjustment of our administrative right in the South Manchurian Railway zone. The close and inseparable relations between our Empire and Manchukuo can be seen in the fact that the Joint Defense Pact was concluded when Manchukuo was founded and that the Japan-Manchukuo Economic Joint Committee has come to be established, because we considered it natural that the two countries should cooperate in the economic field as well. over, I believe that in order to secure that objective she must endeavor, on her part, to enhance her international position, and at the same time to promote friendly relations with the neighboring countries. The stately existence of this newly established Manchukuo and her sound development truly constitute the fundamental conditions of the virtual stabilization of East Asia. In other words, she should make

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her utmost efforts to adjust the relations between Japan, Manchukuo, and China on one hand, and to act with prudence in the relations between Japan, Manchukuo, and Soviet Russia on the other hand.

"In the first place, as to the relations between Japan, Manchukuo, and China, though a gradual improvement is noticed, it cannot be said that they have been restored to the normalcy. Naturally, we keenly feel the necessity of solidifying the foundation upon which the stability of East Asia rests by improving the relations among three countries till they are perfectly normalized."

Now Mr. Books will call a witness to the stand.

MR. BROOKS: If the Tribunal please, I would like to call the defendant MINAMI to the stand.

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JIRO MINAMI, one of the accused, being first duly sworn, testified through Japanese interpreters as follows:

THE PRESIDENT: Mr. Tavenner. .

been informed by the defense counsel that the present witness, who is one of the accused, will testify only regarding the general phase now under consideration and that the defense intends to produce the witness at least on one other occasion in later phases of the case. We think as a matter of procedure that we should object to the division of the accused's testimony during the phases of the case. We would be very much opposed to cross-examining him piecemeal. I assume the Tribunal would not compel us to cross-examine even in the event he is permitted to testify now.

right to examine. We are not restricted by any federal rule. Our authority to examine is not to be identified with that of the prosecution or of the defense but is something entirely independent. However, I can see that is the federal rule is applied it may seriously restrict cross-examination, but that could be said of cross-examination by the defense of prosecution's witnesses also. The prosecution

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it to the defense. But it may throw on the Tribunal a function ordinarily discharged by the prosecution; that is the function of subjecting the witness to a thorough and complete examination.

MR. TAVENNER: As to the question of crossexamination, I am not familiar with any rule that requires the prosecution to cross-examine before an accused has fully completed his testimony.

THE PRESIDENT: I haven't suggested otherwise.
MR. TAVENNER: Yes.

THE PRESIDENT: I do suggest that if he is examined piecemeal like this you may be seriously restricted. We will hear what you both have to say because we must confer about this it is so important.

regarding cross-examination in order to show that by a procedure of this kind as to an accused that it is bound to result in confusion because we do not feel that the Tribunal will insist upon our exhausting our right of cross-examination in a piecemeal fashion. We haven't raised the question of division as to a witness proper, but an accused stands in a different situation in regard to that. Of course, should defense counsel desire to examine the accused fully

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at this time we could offer no objection and do not desire to offer any. But solely from the standpoint of efficient trial procedure we felt that the objection should be made to the practice of testifying by installments.

MR. BROOKS: Mr. President and Members of the Tribunal:

I have called MINAMI as a witness because it is important in this phase of the case since he was the War Minister at the time of the outbreak of the Mukden Incident up until December 10, I believe it was, 1931. I had not intended to read all of this affidavit. The reason I had not intended to read all of the affidavit was because there are one or two paragraphs that deal with later matters that could be taken up in the individual phase. However, since that I have decided to read the entire affidavit at this time because the other parts are very small, but I also desire to call this defendant in the individual case after his other witnesses have been put on if I see fit to do so. Speaking as an individual defense counsel for MINAMI, I do not desire to limit cross-examination by the Court or by the prosecution on anything they desire to go into.

THE PRESIDENT: Colonel Warren.

MR. WARREN: If the Tribunal please, speaking on behalf of my client that may later desire to follow a procedure similar to this, we cannot agree with Mr. Brooks. The procedure we have asked the Court to follow is the procedure that the prosecution requested.

THE PRESIDENT: If the defense disagree, they had better confer during the luncheon adjournment.

We will adjourn until half past one.

(Whereupon, at 1200, a recess was taken.)

AFTERNOON SESSION

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The Tribunal met, pursuant to recess, at 1335.

MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now resumed.

JIRO MINAMI, one of the accused, resumed
the stand and testified through Japanese interpreters as ollows:

THE PRESIDENT: Colonel Warren.

MR. WARREN: If the Tribunal please, at the suggestion of the Tribunal, defense counsel have conferred during the noon hour regarding the question before the Tribunal. I should like, in argument, to read from "A Manual for Courts-Martial, U. S. Army, 1928, corrected to April 20, 1943" which depicts our present federal rule and follows it exactly. I shall read from paragraph 120 d on page 125. It will be noted that the first two sentences do not apply because of Charter limitations under the Charter of this Tribuns.

Quoting: "The accused is at his own request, but not otherwise, a competent witness. His failure to make such request shall not create any presumption

against him. Upon taking the stand as a witness he occupies no exceptional status. The same rules as to the admissibility of evidence, privilege of the witness, impeaching of his own credit, etc., will apply to him as to any other witness." That applies, your Honor, to his direct examination.

On page 127, paragraph 121 b, I will read as to what applies to his cross-examination.

Quoting: "An accused person taking the stand as a witness becomes subject to cross-examination like any other witness. So far as the latitude of the cross-examination is discretionary with the court, a greater latitude may properly be allowed in his cross-examination than in that of other witnesses. When the accused testifies in denial or explanation of any offense, the cross-examination may cover the whole subject of his guilt or innocence of that offense. Any fact relevant to the issue of his guilt of such offense or relevant to his credibility as a witness is properly the subject of cross-examination. The accused cannot avail himself of his privilege against self-incrimination to escape proper crossexamination. Where an accused is on trial for a number of offenses and on direct examination has testified about only a part of them, his cross-examina-

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tion must be confined to questions of credibility and matters having a bearing upon the offense about which he has testified."

It is my personal opinion, your Honor, which is shared by others, that we do not have the right to waive the fundamental right of the accused in waiving cross-examination -- I mean in waiving the right not to cross-examine on matters not relevant to the charge about what he is testifying.

THE PRESIDENT: You meant that counsel could not waive the accused's right to have the cross-examination restricted.

MR. WARREN: Exactly, your Honor. We feel that, your Honor, inasmuch as the prosecution selected the method of presenting their case by phases and we followed suit, the rules necessarily applicable to carry out that plan should apply to us as well as to the prosecution. We feel that, inasmuch as an accused occupies no special status as a witness, cross-examination should be limited to the scope of the direct which is contained in the affidavit, even to the question of credibility to which we were limited.

Other counsel have requested to be heard on this; and Mr. Logan, I think, desires to say some-

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thing at the request of the American and Japanese defense counsel.

THE PRESIDENT: Mr. Logan.

what the prosecution has said and from the personal offer which was made before lunch, there are two questions to be considered: One is as to whether or not the defense would have the right to call and recall the accused in different phases of the case, and the second is whether or not the restriction on cross-examination to the extent and scope of the affidavit -- whether or not that rule shall be applied to the accused.

Perhaps both of these questions could be answered if the true view of an accused on a stand is to be considered. An accused on a stand may be an accused as to the prosecution, but he is only a witness as to all the other accused. Therefore, as a witness, we should be permitted to put him on in various phases and limit the prosecution's crossexamination to his affidavit.

With respect to the second point, if an accused is permitted unrestricted cross-examination by the prosecution, it would be treating him in a manner which would subject him to further redirect

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examination by any of the other accused's attorneys whom he may mention in his cross-examination, and this would involve matters for which the accused is not called and for which many of the other defense attorneys would not know the matters on which he is going to testify because it is not mentioned in his affidavit. Also, if +he prosecution were permitted unrestricted cross-examination of an accused, the attorneys for the other accused whom that particular accused may mention in his cross-examination, would also have, perhaps, an unrestricted right of redirect examination. In this case it would be interminable. Furthermore, in using these accused as witnesses, if any change is made in the rule at this time, we feel it would be prejudicial to the interest of the other accused other than the one who is on the stand.

For these reasons we believe the rules that have been adopted, largely by the Court up to the present time, should be continued and that we should be permitted to call these accused in various phases; and, secondly, that the cross-examination should be restricted to the direct.

Mr. Lazarus would also like to say a few words.

THE PRESIDENT: Mr. Lazarus.

MR. LAZARUS: With reference to the China phase and the Russian phase which will follow, if it please the Tribunal, we are considering putting on several of the accused to testify only as to matters pertinent to those particular phases. They will testify by affidavit. The prosecution will have ample notification and will have time to be prepared for suitable cross-examination. If, at that time, a complete cross-examination on all mathers in the Indictment were to be permitted, we would not finish the China phase for, perhaps, a period of months if the chain that Mr. Logan pointed out to the Court before me were to be followed.

As you have very properly pointed out, Mr. President, this is a court, not a jury; and the prosecution's fear, that, perhaps, by introducing the evidence of the accused piecemeal the evidence could not properly be followed or clearly be followed, holds no water. On the contrary, we feel that, if the accused were to take the stand and testify as to the matters relevant to these phases at the time the majority of the evidence pertinent to those phases were being put on, the Court would be able to follow all the evidence much more clearly.

MINAMI

In conclusion, and very important I think, we sincerely feel that we will save time if we can allow the accused to testify now in these phases as their particular part of the evidence arises rather than have them rehash and go through the entire matter later.

Whalen & Duda

THE PRESIDENT: Mr. Tavenner.

MR. TAVENNER: May I be permitted to reply to each of the three counsel?

THE PRESIDENT: Well, the question is whether a fair trial would be denied if we allowed each accused to give evidence only once and then in his individual case. We have heard nothing to the contrary.

Mr. Logan pointed out some grave objections if you exercised the right to unlimited cross-examination on the phases. The defense arguments apart from his have been directed to saving time and also to our convenience. But how will the accused be prejudiced if they are required to give evidence once for all time after the phases have been dealt with? I think the Tribunal is prepared to hear you both on that aspect.

MR. WARREN: If the Tribunal please, the consensus seems to be that there would not be any prejudice to the particular accused, but there would be a prejudice to the entire, over-all case in that it would affect the continuity of our trial. In so far as presenting our phases chronologically, consistently, and concisely are concerned, it would be of great benefit to the accused -- I mean, to the defense, to

use these men as witnesses and view them as witnesses and not as accused at this time.

It has been pointed out further by counsel that due to the lapse of time in some instances we will be unable to get other witnesses who would fit into the particular breach that we have fitted them into in the preparation of our case.

MR. LAZARUS: Mr. President, this case is so vast and so complex that we think it is to the definite benefit of the accused to be able to tell their stories in part as each main part arises, rather than have to take the stand and in one or two or three consecutive days try to cover an entire indictment covering seventeen years, seventeen cabinets, and many, many complex parts which, as you know, have already been introduced in evidence.

All the accused will not take the stand in this manner; only a few will. And those few who are considering taking the stand in this manner definitely do feel that it is to their advantage to be able to give their stories piecemeal within the phase then being presented and surrounded by all the appropriate evidence, both documentary and by affidavit and witnesses.

THE PRESIDENT: Mr. Tavenner.

MINAMI

MR. TAVENNER: Your Honor, I think it is only necessary to refer to Mr. Warren's admission that in his opinion it would not be prejudicial to the defendants that they be required to testify only in the defense phase of the case.

THE MONITOR: Mr. Tavenner, in the last part, "only in the defense phase of the case," or "the defendant's phase"?

MR. TAVENNER: The defense phase of the case.

THE PRESIDENT: If Dr. UZAWA desires to

address the Tribunal, he had better do so before you,

Mr. Tavenner.

MR. TAVENNER: I am sorry, I did not know any other defense counsel desired to address the Tribunal.

DR. UZAWA: I should like to just mention that this problem is such an important one, the Japanese counsels, the majority of the Japanese counsels agree with the opinions of Mr. Lazarus and Mr. Warren and Mr. Logan.

THE PRESIDENT: Mr. Tavenner.

MR. TAVENNER: As I started to say, Mr.

Warren, counsel for the accused, has stated that in
his opinion it would not be to the prejudice of the
accused if they would be required to testify in what
I term was the defense phase by which, of course, I
mean the individual phase of the case which is to
follow the special phases.

THE PRESIDENT: Colonel Warren's admission was not unqualified.

MR. TAVENNER: I would like also to call to the Tribunal's attention the argument made by Mr.

Logan in which he described the extremely complicated situation that would arise as a result of cross-examination on limited testimony--

THE MONITOR: Mr. Tavenner, is that "on limited testimony" or "unlimited?"

MR. TAVENNER: On limited direct examination.

It is cross-examination on limited direct examination.

(Continuing) And the various problems that would arise from redirect examination. Considerable time could be consumed in cutlining the various complicated situations that could arise. But it is certainly not necessary to do this.

Now, the right of an accused to give testimony in piecemeal fashion would certainly be a concession on the part of the Tribunal--

THE PRESIDENT: We gave you the concession.

That is no argument.

MR. TAVENNER: Pardon me, I hadn't finished the sentence -- which certainly would be exercised only in the event that it expedites the trial. And I think it is plain that just the reverse would be the effect.

We are not trying the phases, we are trying the accused. And if it is a matter of conflict between convenience in arranging evidence for the phases and in the expedition of the trial of the accused, there should be no hesitancy as to where the decision should be. This is not the situation mentioned by Mr. Warren where separate charges appear in an indictment and as to which the accused is appearing only in answer to one specific charge. The charge of conspiracy covers the entire field of the case.

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We can see no reason why an accused could be prejudiced in any manner by requiring his testimony in one phase of the case, whatever phase the defense counsel desire to use that testimony.

That is all I have to say.

MR. BROOKS: May I reply to the last statement, your Honor, very briefly?

THE PRESIDENT: There is nothing new in the last statement.

MR. BROOKS: I have a witness on the stand, an accused on the stand, your Honor, and the point I want to reply to is that if other defendants are going to take the stand after this witness, when I am finished here, I would surely have the right to recall to answer any charges or counter-charges that might be made by other defendants when they take the stand at a later period.

THE PRESIDENT: The Court will adjourn to consider its decision.

(Whereupon, at 1420, a recess was taken until 1505, after which the proceedings were resumed as follows:)

Kapleau & Spratt

MARSHAL OF THE COURT: The International Military Tribunal for the Far East is now resumed.

THE PRESIDENT: By a majority the Tribunal has decided that an accused may give evidence at any time, but that he must give his evidence once for all time, that he cannot give it in sections in separate phases.

MR. BROOKS: Has there been any decision on the cross-examination, your Honor?

THE PRESIDENT: Would you care to consider the position? You may want to present an entirely different affidavit. We do not know.

MR. BROOKS: Under the ruling of the Court
I ask leave to withdraw the witness from the stand
so that he may be called at a later time.

THE PRESIDENT: The correct procedure is to stand him down until a later time.

He is stood down accordingly.

(Whereupon, the witness was excused.)

MR. BROOKS: I would also like to request,
your Honor, to be heard in Chambers on the matter of
whether I may call another accused as a witness in
behalf of one of my defendants without infringing
upon this right, as set out before the Court now, that

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he can only be heard at one time.

THE PRESIDENT: Any such application and any submissions on it should be made here in Court, Captain Brooks.

MR. BROOKS: May we then defer that until the defense counsel, Japanese and American, have had a chance to confer and have a spokesman appointed to present all of our views.

THE PRESIDENT: We think you will need some time about this, Captain Brooks; so we will adjourn now until half-past nine tomorrow morning.

(Whereupon, at 1508, an adjournment was taken until Friday, 11 April 1947, at 0930.)

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